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0002/PTO Rev. 6/95	US Department of Commerce Patent and Trademark Office	Attorney Docket Number	ARC 2466 R1
NEW UTILITY PATENT		First Named Inventor	CORMIER, Michel J.N., et al.
APPLICA	TION TRANSMITTAL	Total Pages in this Submission	

	APPLICATION ELEMENTS						
Note: Checklist items mentioned under Application Elements section construct a new utility patent application Please refer to MPEP Sections 506,601, (37 CFR 1 77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application		1.53, 35	ACCOMPANYING APPLICATION PARTS				
1. Fee Transmittal	Form (prescribed filing fee(s))	6.	Assignment Pa	pers			
2. Specification		7.	Certified Copy of	of Priority [	Document(s) (if foreign priority is claimed)		
Title of the inve	ntion	8.	Computer Progr	ram in Mic	rofiche		
Cross Reference	es to Related Applications (if applicable)	9.	English Transla	tion Docu	ment (if applicable)		
Statement Rega	arding Federally-sponsored Research/Develop	nent <i>(if</i> 10	. Information Disc Statement/PTO		Copies of IDS Citations		
Reference to M	icrofiche Appendix (if applicable)	11	. Petition Checkli	ist and Ac	companying Petition		
Background of	the Invention	12	. Preliminary Am	endment			
Brief Summary	of the Invention	13	. Proprietary Info	rmation			
Brief Description	on of the Drawings (if drawings filed)	14	. Return Receipt	Postcard			
Detailed Descr	iption	15	. Small Entity Sta	atement			
Claim or Claim	s	16	Additional Encl	osures (pl	ease identify below)		
Abstract of the	Disclosure						
3. Drawing(s) (wh	nen necessary as prescribed by 35 USC 113)						
4. Executed Deck	4. Executed Declaration (Unexecuted Declaration)						
5. Genetic Sequence Submission (if applicable, all must be included)							
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## **US PATENT AND TRADEMARK OFFICE**

PTO/SB/17 (6-95)
Approved for use through 11/30/98 OMB 0651-0032
Patent and Trademark Office: US Department of Commerce

0002/PTOUS Department of Commerce Rev. 10/95 Patent and Trademark Office		Complete if Known			
		Application Number			
		Filing Date			
FEE TRANSMIT	TAL	First Named Inventor	CORMIER, Michel J.N., et al.		
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Allowance, 37 CFR 1.311(b)	115	110	215	55	after Examiner action Extension for response within	
2 Payment Enclosed:	116	390	216	195	first month Extension for response within	
	117	930	217	465	second month Extension for response within	
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FEE CALCULATION (fees effective 10/1/96)  1 FILING FEE	118	1,470	218	735	Extension for response within fourth month	
	119	300	219	150	Notice of Appeal	
Large Entity Small Entity	120	300	220	150	Filing a brief in support of an appeal	
Fee Fee Fee Fee Fee Paid	121	260	221	130	Request for oral hearing	
Code (\$) Code (\$) Description	138	1,470	138	1,470	Petition to institute a public	
101 770 201 385 Utility filing 770.00	140	110	240	55	use proceeding Petition to revive unavoidably abandoned application	
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114 150 214 75 Provisional filing fee	142	1,290	242	645	application Utility issue fee (or reissue)	
SUBTOTAL (1) (\$) 770.00	143	440	243	220	Design issue fee	
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	122	130	122	130	Petitions to the Commissioner	
2 CLAIMS Fee from	123	50	123	50	Petitions related to provisional applications	
Extra below Fee Paid  Total Claims 52 -20 = 32 x 22.00 = 704.00	126	230	126	230	Submission of Information Disclosure Statement	
	581	40	581	40	Recording each patent assignment per property	
Independent Claims 4 -3 = 1 x 80.00 = 80.00	146	770	246	385	(x no. properties) Filing a submission after	
Multiple Dependent Claims x =					final rejection (37 CFR 1.129(a))	
Large Entity Small Entity	149	770	249	385	For each additional invention to be examined	
Fee Fee Fee Code (\$) Fee Description					(37 CFR 1.129(b))	
103 22 203 11 Claims in excess of 20	Other	fee (specify	)			
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# DEVICE AND METHOD FOR ENHANCING TRANSDERMAL FLUX OF AGENTS BEING DELIVERED OR SAMPLED

#### **Cross-Reference to Related Applications**

A claim is made, under 35 USC 119 (e), to the benefit of the filing of US Patent Application Serial No. 60/019,990 filed June 18, 1996.

#### Field of the Invention

The present invention relates to transdermal agent delivery and sampling. More particularly, this invention relates to the transdermal delivery of agents, such as peptides and proteins, as well as the transdermal sampling of agents, such as glucose, body electrolytes and substances of abuse, such as but not limited to alcohol and illicit drugs. The present invention uses skin-piercing microblades to enhance the transdermal flux of the agents during transdermal delivery or sampling and anchoring elements to assist in retaining the delivery or sampling device in the skin.

#### **Background of the Invention**

Interest in the percutaneous or transdermal delivery of peptides and proteins to the human body continues to grow with the increasing number of medically useful peptides and proteins becoming available in large quantities and pure form. The transdermal delivery of peptides and proteins still faces significant problems. In many instances, the rate of delivery or flux of polypeptides through the skin is insufficient to produce a desired therapeutic effect due to the binding of the polypeptides to the skin. In addition, polypeptides and proteins are easily degraded during and after penetration

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into the skin, prior to reaching target cells. Likewise, the passive flux of water soluble small molecules such as salts is limited.

One method of increasing the transdermal delivery of agents relies on the application of an electric current across the body surface or on "electrotransport". "Electrotransport" refers generally to the passage of a beneficial agent, e.g., a drug or drug precursor, through a body surface such as skin, mucous membranes, nails, and the like. The transport of the agent is induced or enhanced by the application of an electrical potential, which results in the application of electric current, which delivers or enhances delivery of the agent. The electrotransport of agents through a body surface may be attained in various manners. One widely used electrotransport process, iontophoresis, involves the electrically induced transport of charged ions. Electroosmosis, another type of electrotransport process, involves the movement of a solvent with the agent through a membrane under the influence of an electric field. Electroporation, still another type of electrotransport, involves the passage of an agent through pores formed by applying a high voltage electrical pulse to a membrane. In many instances, more than one of these processes may be occurring simultaneously to different extents. Electrotransport delivery generally increases agent delivery, particularly large molecular weight species (e.g., polypeptides) delivery rates, relative to passive or non-electrically assisted transdermal delivery. However, further increases in transdermal delivery rates and reductions in polypeptide degradation during transdermal delivery are highly desirable.

One method of increasing the agent transdermal delivery rate involves pre-treating the skin with, or alternatively co-delivering with the beneficial agent, a skin permeation enhancer. The term "permeation enhancer" is broadly used herein to describe a substance which, when applied to a body surface through which the agent is delivered, enhances its electrotransport flux. The mechanism may involve a reduction of the electrical resistance of the body surface to the passage of the agent therethrough, an increase in the

permeability of the body surface, the creation of hydrophilic pathways through the body surface, and/or a reduction in the degradation of the agent (e.g., degradation by skin enzymes) during electrotransport.

There have been many attempts to enhance transdermal flux by mechanically puncturing the skin prior to transdermal drug delivery. See for example U. S. Patent Nos. 5,279,544 issued to Gross et al., 5,250,023 issued to Lee et al., and 3,964,482 issued to Gerstel et al. These devices utilize tubular or cylindrical structures generally, although Gerstel does disclose the use of other shapes, to pierce the outer layer of the skin. Each of these devices provide manufacturing challenges, limited mechanical attachment of the structure to the skin, and/or undesirable irritation of the skin.

As has been discussed, a variety of chemicals and mechanical means have been explored to enhance transdermal flux. However, there is still a need to provide a device suitable for increasing transdermal flux which device is low-cost and which can be manufactured reproducibly (i.e., without significant variation from device to device) in high volume production and to improve the attachment of the device to the skin.

#### **Description of the Invention**

The present invention provides a reproducible, high volume production, low-cost device suitable for increasing transdermal flux and improving attachment to the skin with minimal to no skin irritation. The device generally comprises a structure that attaches to the skin more effectively than the prior art devices. The invention comprises a plurality of microblades for piercing and anchoring to the skin. The blades typically have a length of less than about 0.4 mm and a width and thickness which is even smaller. In spite of their small size, the blades can be made with an extremely reproducible size and shape so that the microslits formed by the blades puncturing the skin also have a very reproducible size and depth. Because the blades have

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a small thickness (i.e., small relative to the width and length of the blades), the blades produce less tissue damage for a given cross-section than a skin piercing microneedle having a circular cross-section. The device of the present invention pierces the stratum corneum of a body surface to form pathways through which a substance (e.g., a drug) can be introduced (i.e., delivery) or through which a substance (e.g., a body electrolyte) can be withdrawn (i.e., sampling).

In one aspect of the invention, the device comprises a sheet having a plurality of openings therethrough, a plurality of microblades integral therewith and extending downward therefrom, and means for anchoring the device to a body surface. In the many different aspects of the invention, the device is anchored to the body surface in any of plurality of ways, including but not limited to, having an extension such as a prong or barb extending from at least some of the microblades, having an opening extending perpendicular through at least some of the microblades, covering essentially the entire surface area of the skin contacting surface of the device with adhesive except for one side of the microblades, orienting at least some of the plurality of microblades at an angle of 90° to the remainder of the plurality of microblades, orienting at least some of the plurality of microblades at an angle within a range of about 1° to about 89° with respect to the remainder of the plurality of microblades, providing a plurality of second openings through the sheet which make the device more shapeable with respect to the body surface. The device of the present invention can be used in connection with drug delivery, body analyte or drug sampling, or both. Delivery devices for use with the present invention include, but are not limited to, electrotransport devices, passive devices, osmotic devices and pressure-driven devices. Sampling devices for use with the present invention include, but are not limited to, "reverse" electrotransport devices as disclosed in Glikfeld et al., U.S. Patent No. 5,279,543, passive devices, osmotic devices and negative pressure driven devices.

The present invention also provides a high yield, low-cost method for producing, in extremely reproducible fashion, the device of the present invention.

#### **Brief Description of the Drawings**

Figure 1 is a perspective exploded view of one embodiment of an electrotransport agent delivery system with a blade array device according to one embodiment of the present invention;

Figure 2 is an enlarged perspective view of the skin proximal side of the blade array device in accordance with one embodiment of the present invention;

Figure 3 is a partial top plan view of a blade array pattern in accordance with one embodiment of the present invention for forming blades with anchoring elements;

Figure 4 is partial top plan view of yet another embodiment of the blade array pattern of Figure 3;

Figure 5 is an enlarged view of a portion of the blades of the blade array pattern of Figure 3;

Figure 6 is an enlarged view of a blade tip in accordance with one embodiment of the present invention;

Figure 7 is an enlarged view of a blade tip in accordance with another embodiment of the present invention;

Figure 8 is a diagrammatic representation of a method for producing blades of the present invention from the blade array pattern of figure 3;

Figure 9 is an enlarged cross-sectional view of angled blades in accordance with one embodiment of the present invention;

Figures 10, 11 and 12 are yet other embodiments of the blades with anchoring elements of the present invention;

Figure 13 is a right side elevational view of another embodiment of a

2	blade with an anchoring element;
3	Figure 14 is an end view of the blade of figure 13;
4	Figures 15 and 16 are another embodiment of the blade and an
5	anchoring element;
6	Figure 17 is a right side elevational view of a blade with anchoring
7	elements in accordance with one embodiment of the present invention;
8	Figure 18 is a cross-sectional view taken along line 18-18 of figure 17;
9	Figure 19 is a right side elevational view of another embodiment of a
10	blade with an anchoring element;
11	Figure 20 is an enlarged partial top plan view of still another
12	embodiment of the blade array pattern;
13	Figure 21 is an enlarged partial top plan view of yet another
14	embodiment of the blade array pattern;
15	Figure 22 is a bottom plan view of the electrotransport agent delivery
16	system of figure 1;
17	Figure 23 is a right side elevational view of the electrotransport agent
18	delivery system of figure 1;
19	Figure 24 is a rear elevational view of the electrotransport agent
20	delivery system of figure 1;
21	Figure 25 is a cross-sectional view taken along line 25-25 of the
22	assembled electrotransport agent delivery system of figure 23;
23	Figure 26 is a diagrammatic cross-sectional view of a passive agent
24	delivery system in accordance with one embodiment of the present invention;
25	Figure 27 is a diagrammatic cross-sectional view of another
26	embodiment of a passive agent delivery system in accordance with the
27	present invention;
28	Figure 28 is a diagrammatic cross-sectional view of a sampling system
29	in accordance with one embodiment of the present invention; and

Figure 29 is a diagrammatic cross-sectional view of another embodiment of the blades of the present invention.

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### **Modes for Carrying Out the Invention**

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Turning now to the drawings in detail, one embodiment of the device 2 of the present invention is generally shown in Figure 1 for use with electrotransport delivery device 10. Device 2 is used for the percutaneous administration or sampling of an agent. The terms "substance", "agent" and "drug" are used interchangeably herein and broadly include physiologically or pharmacologically active substances for producing a localized or systemic effect or effects in mammals including humans and primates, avians, valuable domestic household, sport or farm animals, or for administering to laboratory animals such as mice, rats, guinea pigs, and the like. These terms also include substances such as glucose, electrolyte, alcohol, illicit drugs, etc. that can be sampled through the skin. The major barrier properties of the skin, such as resistance to drug penetration, reside with the stratum corneum. The inner division of the epidermis generally comprises three layers commonly stratum Malpighi, and stratum stratum granulosum, identified as germinativum. Once a drug penetrates below the stratum corneum, there is substantially less resistance to permeation through the underlying stratum granulosum, stratum Malpighi, and stratum germinativum layers for absorption and circulation of drug into the body. The device of the present invention is used to form microslits in the stratum corneum and produce a percolation area in the skin for improved transdermal delivery or sampling of an agent.

Device 2 comprises a plurality of microblades 4 (i.e., a blade array) extending downward from one surface of a sheet or plate 6 (see Figure 2 in which device 2 is in an inverted position to show the microblades). The microblades 4 penetrate the stratum corneum of the epidermis when pressure

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is applied to the device to increase the administration of or sampling of a substance through a body surface. The term "body surface" as used herein refers generally to the skin, mucous membranes, and nails of an animal or human, and to the outer surface of a plant.

Furthermore, the device 2 of the present invention improves the attachment of the device to the skin so that the percolation areas and a continuous pathway are preserved during movement of the body surface. In the embodiment shown in Figure 2, projections in the form of barbs 50 on at least one of the blades 4 assist in anchoring the device 2 and any corresponding device or structure used in combination therewith to the skin. Barbs 50 can be on any number of the blades from one blade to all blades. Other embodiments which assist to anchor the device to the skin will be discussed below.

The microblades 4 are generally formed from a single piece of material and are sufficiently sharp and long for puncturing the stratum corneum of the skin. In one embodiment, the microblades 4 and the sheet 6 are essentially impermeable or are impermeable to the passage of an agent. The sheet 6 is formed with an opening 8 between the microblades 4 for enhancing the movement of an agent therethrough. In the case of therapeutic agent (e.g., drug) delivery, the drug is released from a drug-containing reservoir (not shown in Figure 2) through microslits formed by the microblades 4 cutting through the stratum corneum, migrating down the outer surfaces of the microblades and through the stratum corneum to achieve local or systemic therapy. In the case of agent (e.g., body analyte) sampling, the analyte (or interstitial fluid containing the analyte) migrates from the body through the microslits in the stratum corneum which are cut by the microblades 4. In one embodiment, the opening 8 corresponds to the portion of the sheet 6 occupied by each of the microblades prior to the blades being transpositioned into the downward depending position. The number of microblades 4 per opening 8 can be any number, preferably however between 1 and about 30

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blades per opening. Furthermore, the number of openings per device and the number of blades per device are independent. The device may have only one opening and one microblade. The agent can be administered at a controlled rate of release from the reservoir through an agent release rate controlling material (not shown) covering the openings 8.

As is best shown in Figure 2, the microblades 4 have a thickness which is much smaller than the width of the blades near their base, i.e., near the point where the blades are attached to the plate 6. This blade geometry provides maximum drug percolation area with a minimum blade penetration area, and hence less tissue damage. The drug percolation area is the skin area in contact with the blades which provides for drug penetration in the skin. The microblades are shaped with the largest possible surface area with a minimal cross-sectional area so as to give the largest possible percolation area. Thin microblades are better than round protrusions for this purpose because for the same cross-section, a thin blade produces more percolation area and less tissue damage than a round protrusion. This is a crucial advantage over the prior art round elements such as needles and tubes. Thin microblades also require less insertion force than round protrusions. The width of each blade can be any of a range of widths. The widths can be different from blade to blade in the array pattern. Likewise, the width can be variable along the length of the blade, as will be described in more detail below. The width of the blade at the intersection of the blade and the body surface after the blade array has been inserted is preferably in the range of about 25  $\mu m$  to about 500  $\mu m,$  more preferably about 50  $\mu m$  to about 400  $\mu m,$ more preferably 100  $\mu m$  to about 300  $\mu m$ .

In one embodiment, the microblades 4 (Figure 5) are also provided with slanted (i.e., angled) leading edges 64 to further reduce the insertion force required to press the blades into the skin tissue. The angle of the leading edge is designated as  $\alpha$ . The slanted leading edges produce a cut through the skin tissue that is equal to the full width of the blade 4 while

reducing the amount of metal that is in the skin tissue. In other words, a flat leading edge (i.e.,  $\alpha$  is 90°) produces a blade with a larger amount of blade material in the skin tissue than is produced by a blade having a slanted leading edge. The leading edges of each blade can all be the same angle or can be at different angles as shown in Figure 5. The angle  $\alpha$  of each leading edge can be any angle between about 10° to 90°, preferably between about 10° to 60°, more preferably about 10° to 40°. The leading edge can also be segmented into two sections at different angles. For example, the first segment can have an angle  $\alpha$  between about 10° to 40° and then transition to a second segment having an angle between 20° to 60°. Alternatively, the leading edge of each blade can be arcuate (i.e., curved) in shape, having, for example, a convex or concave shape. In one embodiment, the leading edge is a curved tip across the entire width of the blade.

The microblades 4 are formed using a photo-etching process which is described in detail hereinafter. This process allows the microblades 4 to be reproducibly formed on a very small (i.e., tens of microns) scale. process also allows the microblades 4 to be formed in shapes which help anchor device 2 to the skin. In one embodiment, the microblades 4 are provided with barbs 50 (Figures 2, 3 and 5) in some fashion so that the device 2 and any corresponding device attached thereto stays attached to the skin after being applied with pressure. The degree of attachment and the number and size of the barbs is such as to retain the delivery or sampling device during the normal activity of the wearer, but not cause pain upon removal. As the microblades are pressed into the skin tissue for use, the leading edge 64 of each microblade cuts through and pushes aside the skin tissue. After the microblades have come to rest in the skin, the skin due to its elastic nature at least partially comes back together around the edges of the microblades, in this way the surface 66 on each microblade having a barb 50 engages skin tissue and anchors the device in the skin. If the blade is left in the skin for an extended period of time (e.g., 24 hours), the skin tissue begins

to heal together in the area behind the surface 66 of the barb thus improving the anchoring of the device. Only one barb per blade is shown in the figures but it is within the scope of the present invention that each blade can have a plurality of barbs extending therefrom. The microblades, in one embodiment, have a cross-section that is wider in the area of the skin distal end of the blade than in the area of the skin proximal end, thus providing additional anchoring of the distal end in the skin. For example, the blades can have an "arrowhead" shape. Furthermore, the barbs 50 shown in the figures are in the same plane as the blade, however the barbs can be oriented outside of that plane for example by a separate bending step or by using a shaped punch and die to produce a curve in the blade and barb. Curving the tips of the blade outside the plane of the blade generally provides better anchoring. Insertion of such blades causes the barbs to curve in the curve direction but retraction causes them to return to their prior position. The resulting curved cross-section of the blade can be, but is not limited to, angular, semi-circular, C-shaped, or banana-shaped to effect a larger cross-section of openings in the skin.

The plurality of microblades 4 for puncturing the stratum corneum are present on one face surface 48 of the device 2 in any predetermined arrangement, for example, as a cluster of blades spaced in rows having any desired number, or in any spaced apart relation of one blade to each other. The device 2 of the embodiment shown in Figures 1 and 2 is produced by the pattern shown in Figure 3. Each blade has a width and thickness that facilitates penetration of the stratum corneum without bending. In the embodiment of Figure 3, there are six blades 4 in each opening 8 in sheet 6. Each opening 8 in this embodiment is 1 mm long and 300  $\mu$ m wide. Correspondingly, the width of each blade is between about 137.5  $\mu$ m to about 175  $\mu$ m and the length is about 250  $\mu$ m. The required length of the blades is subject to variation of the body surface being penetrated and corresponds to the natural thickness of the stratum corneum, for one of the principle features

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of the invention is that the blades are to penetrate the stratum corneum into the epidermis. Usually, the blades will be about 25  $\mu$ m to about 400  $\mu$ m in length, with the length for most applications being between about 50  $\mu$ m to about 200  $\mu$ m.

The pattern for any of the blade array devices of the present invention are produced with a photo-etching process. A thin sheet or plate 6 of metal such as stainless steel or titanium is etched photo-lithographically with patterns containing blade-like structures. In general, a thin laminate dry resist or wet resist is applied on a sheet about 7 μm to about 100 μm thick, preferably about 25  $\mu m$  to about 50  $\mu m$  thick. The resist is contact exposed using a mask having the desired pattern and is subsequently developed. These operations are conducted in much the same way that they are for the manufacture of a printed circuit board. The sheet is then etched using acidic solutions. After the pattern has been etched through the sheet, the sheet is placed on a die 52 (shown schematically in figure 8) having a plurality of openings 56 corresponding to the openings 8 in the sheet. A punch 54 having a plurality of protrusions 58 corresponding to the openings in the sheet and die is initially located above the sheet and die. At the initial stage, the blades 4 are in the same plane as the rest of the sheet 6. protrusions 58 on the punch 54 are then pressed into the openings 56, thus bending the blades 4 downward to be at an angle (e.g., substantially perpendicular) to the plane of the sheet. The finished structure provides blades 4 with an adjacent opening 8 for the passage of a substance therethrough when the device 2 is applied to the skin. Rectangular openings 8 are shown in the figures but the invention encompasses the use of any shape openings including, but not limited to, square, triangular, circular and elliptical.

The sheet 6 in some areas can have additional etched openings 80 (Figure 4) to alleviate the curl created during punching and/or to provide for flexibility in the dense blade array patterns because in some embodiments

the sheet becomes very stiff after punching. The openings can be any of a variety of shapes (e.g., rectangular, circular, elliptical, triangular, etc.) The openings also allow the sheet to be more easily curved to match the curvature of the body surface to which it is to be attached which improves anchoring of the device. The present invention maximizes the openings through the sheet but with a sufficient number of horizontal and vertical continuous portions in the sheet to prevent the sheet from being too flexible (i.e., flimsy). If the openings are made too long in any one dimension, the sheet will bend (i.e., crinkle). In addition, it is also possible to treat the devices after punching with heat or plastic deformation such that the radius of curvature of the sheet becomes equal to or somewhat smaller than the curvature of the body, where it is to be attached to enhance anchoring. The concave surface can be shaped to match the convex pattern of the body.

The blades 4 can be patterned with resist on both sides 48,49 and subsequently etched simultaneously from both sides (Figure 7) to achieve maximum pattern resolution for a given sheet thickness and to produce a knife-like edge that can not be achieved with conventional stamping and punching processes. Alternatively, the blades 4 can be patterned and etched from one side (i.e., side 49) only (Figure 6). When etching from one side only, the etching process can be controlled to etch selective depths in the plate 6 along the length of the blades (e.g., at the blade tips) to produce a single angle 60 at the tip of the blade which maximizes the sharpness of the knife-like edge of the blade. In this embodiment, the lithography process produces a portion of the blade that is thinner than the remainder of the thickness of the blade and of the sheet. The lithography process also can produce very small dimensioned elements for the anchoring and the penetration aspects of the invention.

In another embodiment of the two-sided etching process, the blade array pattern of any of the embodiments of the present invention is etched into the top surface 49 of sheet 6. A second pattern equivalent to the area

bounded by each of the openings 8 (e.g., rectangular) is etched into the bottom surface 48 such that each of the blades in the blade array pattern is thinner than the surrounding sheet 6. As a result, the sheet 6 forms a strong base and as the punch 54 deforms the blades 4 downward, each of the blades plastically deforms so as to produce blades that are straighter and more truly perpendicular to the sheet.

In one embodiment of the etching process, a dry resist (e.g., "Dynachem FL" available from Dynachem located in Tustin, CA) is applied 12.5 μm thick to one or both sides of the sheet and exposed in a standard manner. Then a suitable spray etcher (e.g., "Dynamil VRP 1 0/NM" available from Western Tech. Assoc. located in Anaheim, CA) is used to spray ) a mixture of ferric chloride and hydrochloric acid onto the resist and sheet at 52°C (125 °F for two minutes. A standard caustic stripper is used for the resist removal.

In another embodiment of the etching process, a wet resist (e.g., "Shipley 111S" available from Shipley Corporation, located in Marlborough, MA) is applied 7.5  $\mu$ m thick at about 20°C (70 °F) to one or both sides of the sheet and exposed in a standard manner. Then a suitable etchant (e.g., ferric chloride) is sprayed onto the resist and sheet at 49°C (120 °F). A standard caustic stripper is used for the resist removal.

Generally, the blades 4 are at an angle of about 90° to the surface 48 of the sheet 6 after being punched, but they can be disposed at any angle forward or backward from the perpendicular position that will facilitate penetration of and attachment to the stratum corneum. In one embodiment (Figure 9), the blades are all aligned at an angle between about 1° and about 89° degrees, preferably about 10° to about 60°, more preferably about 20° to 45° to facilitate the device being slid along and into the skin. The angled blades have two principal advantages. First, penetration of the blades is not opposed by the elasticity of the skin because the blades are slid horizontally into the skin as opposed to pressing vertically on the skin. Second, the

angled blades act to anchor the device in the skin as any motion of the skin is less likely to dislodge the blades. In addition, other anchoring elements such as barbs, openings, etc. can be used with the angled blades to further enhance anchoring of the device.

In one embodiment (Figure 29), anchoring of the device is achieved by coating the surface 48 of sheet 6 and surface 82 of each blade 4 with an adhesive. One method of producing this embodiment comprises spraying the adhesive on the device 2 along the direction indicated by arrows 84. In this embodiment, the agent is free to pass through the openings 8 and along surface 86 of each blade unencumbered by the adhesive. It is also possible to apply the adhesive on only surface 48 and not on the blade surfaces 82. This can be accomplished, for example, by applying the adhesive onto surface 48 after the blades 82 have been punched by spraying the adhesive in a direction which is parallel to the axis of the blades 82. It is further possible to apply the adhesive only on the blade surfaces 82 and not on the surface 48 of sheet 6 in order to anchor the device, although this last design is the least preferred adhesive anchoring means.

The sheet and blades can be made from materials that have sufficient strength and manufacturability to produce blades, such as, glasses, ceramics, rigid polymers, metals and metal alloys. Examples of metals and metal alloys include but are not limited to stainless steel, iron, steel, tin, zinc, copper, platinum, aluminum, germanium, nickel, zirconium, titanium and titanium alloys consisting of nickel, molybdenum and chromium, metals plated with nickel, gold, rhodium, iridium, titanium, platinum, and the like. An example of glasses include a devitrified glass such as "Photoceram" available from Corning in Corning, NY. Examples of rigid polymers include but are not limited to polystyrene, polymethylmethacrylate, polypropylene, polyethylene, "Bakelite", cellulose acetate, ethylcellulose, styrene/acrylonitrile copolymers, stryrenetbutadiene copolymers, acrylonitrile/butadiene/styrene (ABS)

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copolymers, polyvinyl chloride and acrylic acid polymers including polyacrylates and polymethacrylates.

Very dense patterns can be created with unit cells wherein a unit cell has a width A and a length B as illustrated in Figure 3. In one embodiment (not shown), the pattern has the following characteristics: a unit cell area of 0.63 mm by 3.8 mm; the lineal length of a cut in a unit cell is approximately equal to 15 mm; and the open skin length per square centimeter is 625 mm.

The microblades of the present invention make an elongated, thin microcut (i.e., a slit) in the skin surface because the blades have a small thickness (relative to their width and length) resulting in a minimal blade cross-sectional area for the portions of the blade in the skin. The geometry of the microblades 4 results in minimal blade volume in the skin with maximal blade surface area in the skin. The advantages of the present invention include, but are not limited to: (1) the thin blade geometry produces the maximum drug percolation area for a given cross-section of the blade; (2) minimal tissue damage occurs because the amount of blade material in the skin and hence the volume loading is minimized; (3) slanted leading edges (or equivalent pointed shapes) further minimize the amount of volume loading or tissue damage while preserving a large percolation area; (4) for a given volume loading, the larger the surface area, the larger the frictional retaining force in the skin; and (5) for a given desired percolation area, there are fewer blades necessary and therefore the force on each tip is higher making skin penetration easier.

In other embodiments (Figures 10-16) other anchoring elements are used in the present invention. In the embodiments shown in Figures 10-14, prong 68 is etched in the side of some or all of the blades 4, and punched lightly so as to protrude outward from the plane of each of the blades, as illustrated in Figures 10 and 14. After the punching of the prongs, the blades may be repunched to regain their substantially vertical orientation. Hinges 72 (Figure 13) can be used to control the retention force of the barb for

anchoring. The hinges allow for the retention force to be tailored independently of the size of the blade because the force required to bend or punch the prong is set independently of the size of the blades by the shape or size of the hinge. In other words, the force can be tailored by the amount of attachment of the prong to the plate, the greater the attachment, the greater the force.

Prongs may protrude from either side of the blade, or both sides, if desired. The shape of each prong can be any of a variety of shapes such as triangular, square, etc. as shown in Figures 11 and 12. In another embodiment, a curved protrusion 70 (Figures 15 and 16) is made by etching a slit in some or all of the blades followed by punching. The prongs and curved protrusions act to anchor the device in the skin similar to the manner described previously.

In other embodiments other anchoring elements are used. In the embodiments of Figures 17-19, the blade 4 has additional openings 74 extending through the blade to enhance anchoring. The edges forming the holes or other linear openings are etched through the blade. Alternatively, or in addition, numerous small pits (i.e., indentations) rather than holes can be etched in the surface of the blade. As described above, the elastic nature of the skin tissue causes the skin to move into the openings or pits. In the embodiments with openings, the skin tissue may heal and reconnect through the openings to provide even greater anchoring.

In a further embodiment (Figure 20), a plurality of blades in an opening 8 are arranged at 90° to another plurality of blades in an opening 8' such that anchoring in two directions is obtained. In other words, the blades (not shown) associated with the openings 8 are oriented parallel to the edge 76 of the device 2 and the blades (not shown) associated with the openings 8' are oriented parallel to the edge 78 of the device. The blades associated with each opening 8 can be oriented at any angle with respect to the blades associated with each opening 8'. Alternatively, the blades within each

opening can be along perpendicular sides of the openings. In a similar manner, the blades within each opening can be formed in a serrated pattern as illustrated in Figure 21. This pattern allows the blades to have different, controllable angles with respect to each other defined by the angle of the punch used and the etched angle β of the pattern.

The number of blades and openings of any of the embodiments of the device 2 is variable with respect to the desired flux rate, agent being sampled or delivered, delivery or sampling device used (i.e., electrotransport, passive, ,osmotic, pressure-driven, etc.), and other factors as will be evident to one of ordinary skill in the art. In general, the larger the number of blades per unit area (i.e., the blade density), the more distributed is the flux of the agent through the skin because there are a greater number of agent-conveying pathways through the skin. Consequently, the smaller the number of blades per unit area, the more concentrated is the flux of the agent through the skin because there are fewer pathways. The present invention has a blade density of at least about 10 blades/cm<sup>2</sup> and less than about 1000 blades/cm<sup>2</sup>, preferably at least about 600 blades/cm², more preferably at least about 800 blades/cm². In similar fashion, the number of openings per unit area through which the agent passes is at least about 10 openings/cm² and less than about 1000 openings/cm<sup>2</sup>. In one embodiment, the present invention produces a percolation area of about 0.005 to .05 cm<sup>2</sup>/cm<sup>2</sup> of body surface, preferably about 0.01 cm<sup>2</sup>/cm<sup>2</sup> of body surface.

One embodiment of the present invention relies on the application of an electric current across the body surface or "electrotransport". Electrotransport refers generally to the passage of a beneficial agent, e.g., a drug or drug precursor, through a body surface such as skin, mucous membranes, nails, and the like. The transport of the agent is induced or enhanced by the application of an electrical potential, which results in the application of electric current, which delivers or enhances delivery of the agent or, for "reverse" electrotransport, samples or enhances sampling of the

agent. The electrotransport of the agents into or out of the human body may be attained in various manners. One widely used electrotransport process, iontophoresis, involves the electrically induced transport of charged ions. Electroosmosis, another type of electrotransport process involved in the transdermal transport of uncharged or neutrally charged molecules (e.g., transdermal sampling of glucose), involves the movement of a solvent with the agent through a membrane under the influence of an electric field. Electroporation, still another type of electrotransport, involves the passage of an agent through pores formed by applying an electrical pulse, a high voltage pulse, to a membrane. In many instances, more than one of these processes may be occurring simultaneously to different extents. Accordingly, the term "electrotransport" is given herein its broadest possible interpretation, to include the electrically induced or enhanced transport of at least one charged or uncharged agent, or mixtures thereof, regardless of the specific mechanism(s) by which the agent is actually being transported. 

It will be appreciated by those working in the field that the present invention can be used in conjunction with a wide variety of electrotransport drug delivery systems, as the invention is not limited in any way in this regard. For examples of electrotransport drug delivery systems, reference may be had to U.S. Patent Nos. 5,147,296 to Theeuwes et al., 5,080,646 to Theeuwes et al., 5,169,382 to Theeuwes et al., and 5,169,383 to Gyory et al., the disclosures of which are incorporated by reference herein in their entirety.

Electrotransport devices generally use at least two electrodes which are in electrical contact with some portion of the skin, nails, mucous membranes, or other body surface. In the case of transdermal agent delivery, one of the two electrodes is commonly referred to as the "donor" or "active" electrode, and is the one from which the agent is delivered into the body. In the case of transdermal agent sampling, one of the two electrodes is referred to as the "receptor" electrode, and is the one into which the agent (e.g., body electrolyte) is collected upon being withdrawn from the body. The

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second electrode is typically termed the "counter" or "return" electrode, and serves to close the electrical circuit through the body. For example, when the agent to be delivered is a cation, i.e., a positively charged ion, the anode becomes the active or donor electrode, while the cathode serves to complete the circuit. Alternatively, if the agent to be delivered is an anion, i.e., a negatively charged ion, the cathode is the donor electrode. When the agent to be sampled is a cation, the cathode becomes the receptor electrode while the anode serves to complete the circuit. When the agent to be sampled is an anion, the anode becomes the receptor electrode while the cathode serves to complete the circuit. When the agent to be sampled has no net charge (e.g., glucose), then either the anode, or the cathode, or both electrodes, can serve as the receptor electrode. Both the anode and cathode may be donor electrodes if both anionic and cationic agents are delivered simultaneously. Electrotransport delivery systems generally require at least one reservoir or source of the agent to be delivered to the body. Electrotransport sampling systems likewise require at least one reservoir in which to collect the agent being sampled. Examples of such reservoirs include a pouch or cavity as described in U.S. Patent No. 4,250,878 to Jacobsen, a porous sponge or pad as described in U.S. Patent No. 4,141,359 to Jacobsen et al., and a pre-formed gel body as described in U.S. Patent No. 4,383,529 to Webster, among others. The pertinent portions of which are incorporated herein by reference. Such reservoirs are electrically connected to, and positioned between, the anode or the cathode and the body surface, e.g., to provide a fixed or renewable source of one or more drugs in the case of agent delivery. In addition, electrotransport systems also typically have an electrical power source, e.g., one or more batteries, and an electrical controller designed to regulate the timing, amplitude and/or frequency of the applied electric current, and hence regulate the timing and rate of agent delivery/sampling. This power source component is electrically connected to the two electrodes. Optional electrotransport device components include a

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counter reservoir, adhesive coatings, insulating separation layers, and rate-controlling membranes.

Figures 1 and 22-25 illustrate a representative electrotransport delivery/sampling device 10 that may be used in conjunction with the present Device 10 comprises an upper housing 16, a circuit board invention. assembly 18, a lower housing 20, anode electrode 22, cathode electrode 24, anode reservoir 26, cathode reservoir 28 and skin-compatible adhesive 30. Upper housing 16 has lateral wings 15 which assist in holding device 10 on a patient's skin. Printed circuit board assembly 18 comprises an integrated circuit 19 coupled to discrete components 40 and battery 32. Circuit board assembly 18 is attached to housing 16 by posts (not shown in Figure 1) passing through openings 13a and 13b, the ends of the posts being heated/melted in order to heat stake the circuit board assembly 18 to the housing 16. Lower housing 20 is attached to the upper housing 16 by means of adhesive layer 30, the upper surface 34 of adhesive layer 30 being adhered to both lower housing 20 and upper housing 16 including the bottom surfaces of wings 15. Shown (partially) on the underside of circuit board assembly 18 is a button cell battery 32. Other types of batteries may also be employed to power device 10 depending on the need.

The device 10 is generally comprised of battery 32, electronic circuitry 19,40, electrodes 22,24, drug/receptor reservoir 26, counter reservoir 28, and device 2, all of which are integrated into a self-contained unit. The outputs (not shown in Figure 1) of the circuit board assembly 18 make electrical contact with the electrodes 24 and 22 through openings 23,23' in the depressions 25,25' formed in lower housing 20, by means of electrically conductive adhesive strips 42,42'. Electrodes 22 and 24, in turn, are in direct mechanical and electrical contact with the top sides 44',44 of drug reservoirs 26 and 28. The bottom side 46 of drug reservoir 28 contacts the patient's skin through the opening 29 in adhesive layer 30. The bottom side 46' of drug reservoir 26 contacts the patient's skin through the plurality of openings

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that fills the openings 8 such that the reservoir 26 is in direct contact with the skin when the blades have penetrated the stratum corneum. The contact between the reservoir and skin provides a path for the agent to be transported along. If the reservoir 26 is not in direct contact with the skin initially, typically sweat accumulates in the confined area and provides an agent-transmitting pathway between reservoir 26 and the skin.

Device 10 optionally has a feature which allows the patient to self-administer a dose of drug, or self-sample a body electrolyte, by electrotransport. Upon depression of push button switch 12, the electronic circuitry on circuit board assembly 18 delivers a predetermined DC current to the electrode/reservoirs 22,26 and 24,28 for an interval of predetermined length. The push button switch 12 is conveniently located on the top side of device 10 and is easily actuated through clothing. A double press of the push button switch 12 within a short time period, e.g., three seconds, is preferably used to activate the device, thereby minimizing the likelihood of inadvertent actuation of the device 10. Preferably, the device transmits to the user a visual and/or audible confirmation of the onset of operation by means of LED 14 becoming lit and/or an audible sound signal from, e.g., a "beeper". Agent is delivered/sampled through the patient's skin, e.g., on the arm, by electrotransport over the predetermined interval. Anodic electrode 22 is preferably comprised of silver and cathodic electrode 24 is preferably Both reservoirs 26 and 28 are preferably comprised of silver chloride. comprised of polymeric gel materials. Electrodes 22,24 and reservoirs 26,28 are retained by lower housing 20.

In the case of therapeutic agent (i.e., drug) delivery, a liquid drug solution or suspension is contained in at least one of the reservoirs 26 and 28. Drug concentrations in the range of approximately 1 x 10<sup>-4</sup> M to 1.0 M or more can be used, with drug concentrations in the lower portion of the range being preferred.

The push button switch 12, the electronic circuitry on circuit board assembly 18 and the battery 32 are adhesively "sealed" between upper housing 16 and lower housing 20. Upper housing 16 is preferably composed of rubber or other elastomeric material, e.g., injection moldable ethylene vinyl acetate. Lower housing 20 is preferably composed of a plastic or elastomeric sheet material (e.g., polyethylene) which can be easily molded to form depressions 25,25' and cut to form openings 23,23'. The assembled device 10 is preferably water resistant (i.e., splash proof) and is most preferably waterproof. The system has a low profile that easily conforms to the body, thereby allowing freedom of movement at, and around, the wearing site. The reservoirs 26 and 28 are located on the skin-contacting side of the device 10 and are sufficiently separated to prevent accidental electrical shorting during normal handling and use.

The device 10 adheres to the patient's body surface (e.g., skin) by means of an adhesive layer 30 (which has upper adhesive side 34 and body-contacting adhesive side 36) and the anchoring elements on the device 2 of any of the embodiments discussed above. The adhesive side 36 covers the entire underneath side of the device 10 except where the device 2 and reservoir 28 are located. The adhesive side 36 has adhesive properties which assures that the device 10 remains in place on the body during normal user activity, and yet permits reasonable removal after the predetermined (e.g., 24-hour) wear period. Upper adhesive side 34 adheres to lower housing 20 and retains the electrodes and reservoirs within housing depression 25,25' as well as retains device 2 to lower housing 20 and lower housing 20 to upper housing 16.

In one embodiment of the drug delivery or sampling device there is a bandage cover (not shown) on the device 10 for maintaining the integrity of the device when it is not in use. In use, the bandage cover is stripped from the device before the device is applied to the skin.

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In other embodiments of the present invention, passive transdermal delivery or sampling devices are used with device 2. Two examples of passive transdermal delivery or sampling devices are illustrated in Figures 26 and 27. In Figure 26, passive transdermal delivery device 88 comprises a reservoir 90 containing agent. Reservoir 90 is preferably in the form of a matrix containing the agent dispersed therein. Reservoir 90 is sandwiched between a backing layer 92, which is preferably impermeable to the agent, and a rate-controlling membrane 94. In Figure 26, the reservoir 90 is formed of a material, such as a rubbery polymer, that is sufficiently viscous to maintain its shape. If a lower viscosity material is used for reservoir 90, such as an aqueous gel, backing layer 92 and rate-controlling membrane 94 would be sealed together about their periphery to prevent leakage. In a sampling configuration, the reservoir 90 would initially not contain the agent. Located below membrane 94 is microblade array device 2. The device 88 adheres to a body surface by means of contact adhesive layer 96 around the periphery of the device 2 and by the anchoring elements of any of the embodiments described previously. The adhesive layer 96 may optionally contain agent. A strippable release liner (not shown) is normally provided along the exposed surface of adhesive layer 96 and is removed prior to application of device 10 to the body surface.

Alternatively, as shown in Figure 27, transdermal therapeutic device 98 may be attached to a body surface by means of a flexible adhesive overlay 100 and the anchoring elements used in device 2. Device 98 is comprised of an agent-containing reservoir 90 (for a delivery configuration) which is preferably in the form of a matrix containing the agent dispersed therein. In a sampling configuration, the reservoir 90 would initially not contain the agent. An impermeable backing layer 102 is provided adjacent one surface of reservoir 90. Adhesive overlay 100 maintains the device 98 on the body surface in combination with the anchoring elements of any of the embodiments previously described for device 2. Adhesive overlay 100 can

be fabricated together with, or provided separately from, the remaining elements of the device 98. With certain formulations, the adhesive overlay 100 may be preferable to the contact adhesive 96 shown in Figure 26. This is true, for example, where the agent reservoir contains a material (such as, for example, an oily surfactant permeation enhancer) which adversely affects the adhesive properties of the contact adhesive layer 96. Impermeable backing layer 102 is preferably slightly larger than reservoir 90, and in this manner prevents the agents in reservoir 90 from adversely interacting with the adhesive in overlay 100. Optionally, a rate-controlling membrane (not shown in Figure 27) similar to membrane 94 in device 88 (Figure 26) can be provided on the skin/mucosa side of reservoir 90. A strippable release liner (not shown) is also normally provided with device 98 and is removed just prior to application of device 98 to the body surface.

The formulation for the passive transdermal devices may be aqueous or non-aqueous based. The formulation is designed to deliver the drug at the necessary fluxes. Aqueous formulations typically comprise water and about 1 to 2 weight percent of a hydrophilic polymer as a gelling agent, such as hydroxyethylcellulose or hydroxypropylcellulose. Typical non-aqueous gels are comprised of silicone fluid or mineral oil. Mineral oil-based gels also typically contain 1 to 2 weight percent of a gelling agent such as colloidal silicon dioxide.

The reservoir matrix should be compatible with the delivered agent, any excipients (e.g., flux enhancers, irritation preventing agents) and/or any carrier therefore. When using an aqueous-based system, the reservoir matrix is preferably a hydrophilic polymer, e.g., a hydrogel. When using a non-aqueous-based system, the reservoir matrix is preferably composed of a hydrophobic polymer. Suitable polymeric matrices are well known in the transdermal drug delivery art.

When a constant drug delivery rate is desired, the drug is present in the matrix or carrier at a concentration in excess of saturation, the amount of

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excess being a function of the desired length of the drug delivery period of the system. The drug may, however, be present at a level below saturation without departing from this invention.

In addition to the drug, the matrix or carrier may also contain dyes, pigments, inert fillers, permeation enhancers, excipients and other conventional components of pharmaceutical products or transdermal devices known in the art.

The amount of drug present in the reservoir and the size of the reservoir is generally non-limited and is an amount equal to or larger than the amount of drug that, in its released form, is effective in bringing about the drugs physiological or pharmacological local or systemic effects.

The preferred form in which an agent is delivered or sampled generally determines the type of delivery or sampling system to be used, and vice versa. That is, the selection of a "passive" system which delivers or samples the agent by diffusion or an electrically powered system which delivers or samples the agent by electrotransport will be mostly determined by the form of the agent. For example, with passive delivery systems, it has generally been recognized that the agent is preferably delivered in either its free base or acid form, rather than in the form of a water soluble salt. On the other hand, with electrotransport delivery devices, it has been recognized that the drugs should preferably be ionized and the drug salt should be soluble in It is generally believed that the pathways for passive and water. electrotransported transdermal drug delivery through intact skin are different, with passive delivery occurring through lipid regions (i.e., hydrophobic regions) of the skin and electrotransport delivery occurring through hydrophilic pathways or pores such as those associated with hair follicles and sweat glands. For the case of pierced skin, there is substantial passive flux through the microslits created by the microblades piercing the stratum corneum. The drug for passive delivery is generally hydrophobic, e.g., free base form, whereas the preferred form of a drug for electrotransport delivery

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is hydrophilic, e.g., water soluble salt form. For osmotic and pressure driven 1 systems which deliver or sample drugs by connective flow carried by a 2 solvent, the drug preferably has sufficient solubility in the carrier solvent. It 3 will be appreciated by those working in the field that the present invention 4 can be used in conjunction with a wide variety of osmotic delivery or sampling 5 systems, as the invention is not limited to a particular device in this regard. 6 Osmotic devices are disclosed for example in U.S. Patent Nos. 4,340,048 to 7 Eckenhoff, 4,655,766 to Theeuwes et al., and 4,753,651 to Eckenhoff, the 8 disclosures of which are incorporated by reference herein in their entirety. 9

This invention has utility in connection with the delivery of drugs within any of the broad class of drugs normally delivered through body surfaces and membranes, including skin. In general, this includes drugs in all of the major therapeutic areas including, but not limited to, anti-infectives such as antibiotics and antiviral agents, analgesics including fentanyl, sufentanil, anesthetics, and analgesic combinations, buprenorphine antiarthritics, antiasthmatic agents such as terbutaline, anticonvulsants, antidepressants, antidiabetic agents, antidiarrheals, antihistamines, antiantimotion sickness antimigraine preparations, inflammatory agents, preparations such as scopolamine and ondansetron, antinauseants, antipruritics, antipsychotics, antineoplastics, antiparkinsonism drugs, gastrointestinal and urinary including antispasmodics, antipyretics, anticholinergics, sympathomimetrics, xanthine derivatives, cardiovascular preparations including calcium channel blockers such as nifedipine, betablockers, beta-agonists such as dobutamine and ritodrine, antiarrythmics, antihypertensives such as atenolol, ACE inhibitors such as ranitidine, diuretics, vasodilators, including general, coronary, peripheral and cerebral, system stimulants, cough and cold preparations, central nervous decongestants, diagnostics, hormones such as parathyroid hormone, immunosuppressives, muscle relaxants. bisphosphoriates, hypnotics, parasympatholytics, pasympathomimetrics, prostaglandins, psychostimulants,

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sedatives and tranquilizers. The invention is also useful in conjunction with reducing or preventing sensitization occurring as a result of electrotransport delivery of proteins, peptides and fragments thereof, whether naturally occurring, chemically synthesized or recombinantly produced. The invention may additionally be used in conjunction with the delivery of nucleotidic drugs, including oligonucleotide drugs, polynucleotide drugs, and genes.

The present invention has particular utility in the delivery of peptides, polypeptides, proteins, nucleotidic drugs, and other such species through body surfaces such as skin. These substances typically have a molecular weight of at least about 300 daltons, and more typically have a molecular weight of at least about 300 to 40,000 daltons. Specific examples of peptides and proteins in this size range include, without limitation, LHRH, LHRH analogs such as goserelin, buserelin, gonadorelin, napharelin and leuprolide, GHRH, GHRF, insulin, insultropin, calcitonin, octreotide, endorphin, TRH, NT-36 (chemical name: N-[[(s)-4-oxo-2-azetidinyl]carbonyl]-L-histidyl-L-prolinamide), lypressin, pituitary hormones (e.g., HGH, HMG, desmopressin acetate, etc), follicle luteoids, aANF, growth factors such as growth factor releasing factor (GFRF), ßMSH, GH, somatostatin, bradykinin, somatotropin, sulfate, asparaginase, bleomycin platelet-derived growth factor, chymopapain, cholecystokinin, chorionic gonadotropin, corticotropin (ACTH), erythropoietin, epoprostenol (platelet aggregation inhibitor), glucagon, HCG, hirulog, hyaluronidase, interferon, interleukins, menotropins (urofollitropin (FSH) and LH), oxytocin, streptokinase, tissue plasminogen activator, urokinase, vasopressin, desmopressin, ACTH analogs, ANP, ANP clearance angiotensin II antagonists, antidiuretic hormone agonists, inhibitors. bradykinin antagonists, ceredase, CSI's, calcitonin gene related peptide (CGRP), enkephalins, FAB fragments, IgE peptide suppressors, IGF-1, neurotrophic factors, colony stimulating factors, parathyroid hormone and agonists, parathyroid hormone antagonists, prostaglandin antagonists, pentigetide, protein C, protein S, renin inhibitors, thymosin alpha-1,

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thrombolytics, TNF, vaccines, vasopressin antagonists analogs, alpha-1 antitrypsin (recombinant), and TGF-beta.

As mentioned above, the device 2 of the present invention can also be used with known sampling devices including, but not limited to, reverse iontophoresis, osmosis, passive diffusion, phonophoresis, and suction (i.e., negative pressure). Figure 28 illustrates an osmotic sampling device 104 in combination with any of the embodiments described previously for device 2. Osmotic sampling devices can be used to sample any of a variety of agents (e.g., body analytes, licit and illicit drugs) through a body surface including, but not limited to glucose, body electrolytes, alcohol, blood gases, and illicit substances such as drugs of abuse. The osmotic sampling device 104 is attached to a body surface by means of a flexible adhesive overlay 100 and the anchoring elements of device 2. Device 104 is comprised of a salt layer 106 located between a semi-permeable or osmotic membrane 94 and an optional agent sensing element 108. The optional agent sensing element can be any of a variety of chemically reactive sensors and indicators, for example the color indicating test strips associated with glucose testing. The adhesive overlay 100 can have a cut-out or transparent window in the area of the indicators so that the indicators can be readily viewed. In an alternate embodiment, the agent sensing element can be located between the device 2 and the salt layer.

The following example is merely illustrative of the present invention and should not be considered as limiting the scope of the invention in any way, as this example and other equivalents thereof will become apparent to those versed in the art and in light of the present disclosure, drawings, and the accompanying claims.

#### **Example**

The effect of the present design was evaluated on the skin resistance of a hairless guinea pig. A microblade array of two square centimeters was applied to ECG electrodes of five square centimeters. The blade array and electrodes were then applied to the skin of the animal. Resistance measurements were taken two minutes after application of the electrode to the skin of the animal. A decrease in resistance was observed indicating that penetration of the blades into the skin had occurred.

The device was evaluated for its effect on electrotransport flux of a decapeptide in the hairless guinea pig. The following are specifications for the device: the device consisted of a sheet having a plurality of rectangular openings having six blades, three on each long side of a 860  $\mu$ m by 250  $\mu$ m rectangle resulting in a 0.22 mm² open area for each opening. Each set of three blades started at the opposite end of the rectangle as the opposing set of blades. All of the blades were about 200  $\mu$ m long. All six blades had slanted leading edges and the blade at each end was barbed as well. The group of six blades were arranged in two slightly offset rows with ten groups in each row on the sheet. Each device was a two cm² piece of stainless steel 25  $\mu$ m thick etched and punched with eight pairs of offset rows or 160 groups of six blades for a total of 960 blades. There were 40 void areas per cm² and 240 blades per cm².

For the study, a one compartment electrotransport system was used. It consisted of a cathode compartment containing a Dulbelco's phosphate buffered saline imbibing gel and a donor anode compartment containing two millimoles of decapeptide buffered at pH 7.5, 10% cholestyramine chloride and 3% hydroxyethylcellulose. After loading the gels in the system, the release liner was removed from the adhesive foam bottom of the electrotransport system. The device was carefully applied over a 1.6 cm diameter hole containing the donor gel with the microblades facing away from

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the gel. The electrotransport system was then placed on the skin of a lightly 1 anesthetized hairless guinea pig. The systems were applied to the backs of 2 the animals using gentle downward pressure while at the same time pushing 3 bottom side of the system with the thumb of the technician. (The thumb 4 trapped a roll of the animals' skin which allowed some upward pressure to be 5 applied directly to the bottom side of the skin in contact with the device 6 microblades). After two minutes the current and resistance measurements 7 were observed and recorded. The electrotransport system was wrapped with 8 Vetrap and the animals were returned to their cages for the duration of 9 electrotransport (5 and 24 hours). Decapeptide flux was evaluated by 10 measuring urinary excretion of this peptide. Only a modest effect of the 11 device on decapeptide flux was observed in the first five hours of transport. 12 Between five and twenty-four hours, the electrotransport flux of an ordinary 13 electrotransport device dropped very significantly probably due to collapse of 14 the pathways or possibly aggregation of the peptide in the pathways (the 15 decrease in flux between five and twenty-four hours was reproducible). Use 16 of the blade array device completely prevented this decrease in flux and 17 resulted in an overall ten-fold increase in decapeptide flux over a twenty-four 18 hour transport period. 19

While the invention has been described in conjunction with the preferred specific embodiments thereof, it is to be understood that the foregoing description as well as the example are intended to illustrate and not limit the scope of the invention. Other aspects, advantages and modifications within the scope of the invention will be apparent to those skilled in the art to which the invention pertains.

#### Claims:

- 1. A device for piercing the stratum corneum of a body surface to form pathways through which an agent can be introduced or withdrawn, comprising:
- a sheet having at least one opening therethrough and a plurality of blades extending downward therefrom, at least one of the plurality of blades having an anchor for anchoring the device to the body surface.
- 2. The device of Claim 1 wherein the anchor is selected from the group consisting:
  - (i) a projection extending out from the at least one blade;
  - (ii) a barb;
    - (iii) at least one opening extending through the at least one blade;
- (iv) an adhesive on a body contacting surface of the sheet and on at least one surface of at least one of the plurality of blades;
- (v) each of the blades having an axis, the blades being oriented so that the blade axes are substantially parallel and the axes form an angle of about 1° to about 89° relative to the sheet;
- (vi) each one of the plurality of blades defines essentially a plane and wherein the anchor comprises a portion of the plurality of blades being oriented at an angle of about 90° with respect to a remaining portion of the plurality of blades; and
- (vii) each one of the plurality of blades defines essentially a plane and wherein the anchor comprises a portion of the plurality of blades being oriented at an angle within a range of about 1° to about 89° with respect to a remaining portion of the plurality of blades.
- 3. The device of Claim 2, wherein the projection extends out from a plane defined by the at least one blade.

4. The device of Claim 3, wherein the projection is a prong.

5. The device of Claim 2, wherein the projection is integral with an edge of the at least one blade and in a plane defined by the at least one blade.

6. The device of Claim 1, further comprising a therapeutic agent delivery device connected to the piercing device and positioned to deliver a therapeutic agent through the opening to the body surface, the agent delivery device being selected from the group consisting of an electrotransport device, a passive diffusion device, an osmotic device, and a pressure driven device.

7. The device of Claim 6, wherein the agent comprises a polypeptide or protein.

8. The device of Claim 1, further comprising a sampling device connected to the piercing device and positioned to sample a substance from the body surface through the opening, the sampling device being selected from the group consisting of a reverse electrotransport device, a passive diffusion device, an osmotic device, and a negative pressure driven device.

9. The device of Claim 8, wherein the sampled substance is selected from the group consisting of body electrolytes, illicit drugs and glucose.

10. The device of Claim 1, wherein a portion of the plurality of blades are located along a periphery of an opening through the sheet.

11. The device of Claim 1, wherein a portion of the plurality of blades are located along a periphery of a plurality of openings through the sheet.

1	12. The device of Claim 11, further comprising a plurality of second
2	openings through the sheet being spaced between the plurality of openings.
3	
4	13. The device of Claim 1, wherein the device has about 600 to about
5	1000 blades/cm2.
6	
7	14. The device of Claim 1, wherein the device has at least about 800
8	blades/cm2
9	
10	15. The device of Claim 1, wherein at least a portion of the plurality of
11	blades have a length sufficient to pierce the stratum corneum of the body
12	surface to a depth of at least about 25 μm.
13	
14	16. The device of Claim 1, wherein each of the plurality of blades is
15	oriented approximately perpendicular to the sheet.
16	
17	17. The device of Claim 1, wherein each of the plurality of blades is
18	oriented at an angle in the range of about 1° to about 89° to the sheet.
19	
20	18. The device of Claim 1, wherein each of the plurality of blades is
21	oriented at an angle in the range of about 10° to about 60° to the sheet.
22	
23	19. The device of Claim 1, wherein at least a portion of the plurality of
24	blades have a thickness in the range of about 7 $\mu m$ to about 100 $\mu m$ .
25	
26	20. The device of Claim 1, wherein at least a portion of the plurality o
27	blades have a thickness in the range of about 25 $\mu m$ to about 50 $\mu m$ .

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1	21. The device of Claim 1, wherein the plurality of blades is composed
2	of a material selected from the group consisting of metals, metal alloys,
3	glasses, ceramics and rigid polymers.
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5	22. The device of Claim 1, wherein the sheet and the plurality of
6	blades are substantially impermeable to the passage of the agent.
7	
8	23. The device of Claim 1, wherein the plurality of blades are thinner
9	than the sheet.
10	
11	24. A device for piercing the stratum corneum of a body surface to
12	form pathways through which an agent can be introduced or withdrawn,
13	comprising:
14	a sheet having a plurality of openings therethrough, at least one of
15	said openings having a plurality of blades located along a periphery thereof
16	and extending downward from the sheet, and an anchor for anchoring the
17	device to the body surface.
18	
19	25. The device of Claim 24, wherein the anchor is selected from the
20	group consisting:
21	(i) a projection extending out from at least one blade;
22	(ii) a barb on a blade;
23	(iii) at least one opening extending through at least one blade;
24	(iv) an adhesive on a body contacting surface of the sheet and on at
25	least the plurality of blades;
26	(v) a portion of the plurality of blades being oriented at an angle of
27	about 90° with respect to a remaining portion of the plurality of blades; and

(vi) each one of the plurality of blades defines essentially a plane and

wherein the anchor comprises a portion of the plurality of blades being

oriented at an angle within a range of about 1° to about 89° with respect to a remaining portion of the plurality of blades.

26. The device of Claim 25, wherein the projection extends out from a plane defined by at least one blade.

27. The device of Claim 26, wherein the projection is a prong.

28. The device of Claim 25, wherein the projection is integral with an edge of the at least one blade and in a plane defined by the at least one blade.

29. The device of Claim 24, wherein the anchor comprises a plurality of openings extending through at least one blade.

30. The device of Claim 24, further comprising a therapeutic agent delivery device connected to the piercing device and positioned to deliver a therapeutic agent through the opening to the body surface, the agent delivery device being selected from the group consisting of an electrotransport device, a passive diffusion device, an osmotic device, and a pressure driven device.

31. The device of Claim 30, wherein the agent comprises a polypeptide or protein.

32. The device of Claim 24, further comprising a sampling device connected to the piercing device and positioned to sample a substance from the body surface through the openings, the sampling device selected from the group consisting of a reverse electrotransport device, a passive device, an osmotic device, and a negative pressure driven device.

The device of Claim 32, wherein the sampled substance is 33. 1 selected from the group consisting of body electrolytes, illicit drugs and 2 glucose. 3 4 34. The device of Claim 24, further comprising a plurality of second 5 openings through the sheet being spaced between the plurality of openings. 6 7 The device of Claim 24, wherein the device has about 600 to 8 about 1000 blades/cm<sup>2</sup>. 9 10 The device of Claim 24, wherein the device has at least about 36. 11 800 blades/cm<sup>2</sup>. 12 13 37. The device of Claim 24, wherein at least a portion of the plurality 14 of blades have a length sufficient to pierce the stratum corneum of the body 15 surface to a depth of at least about 25 μm. 16 17 38. The device of Claim 24, wherein each of the plurality of blades is 18 oriented approximately perpendicular to the sheet. 19 20 39. The device of Claim 24, wherein each of the plurality of blades is 21 oriented at an angle in the range of about 1° to about 89° to the sheet. 22 23 40. The device of Claim 24, wherein each of the plurality of blades is 24 oriented at an angle in the range of about 10° to about 60° to the sheet. 25 26 41. The device of Claim 24, wherein the plurality of blades have a 27 thickness in the range of about 7 μm to about 100 μm. 28

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spray etching.

ı	42. The device of Claim 24, wherein the plurality of blades have a
2	thickness in the range of about 25 micrometers to about 50 micrometers.
3	
4	43. The device of Claim 24, wherein each of the plurality of blades are
5	composed of a material selected from the group consisting of metals, metal
6	alloys, glasses, ceramics and rigid polymers.
7	
8	44. The device of Claim 24, wherein the sheet and the plurality of
9	blades are substantially impermeable to the passage of the agent.
0	
1	45. The device of Claim 24, wherein the plurality of blades are thinner
2	than the sheet.
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4	46. A method for producing a device for piercing the stratum corneum
5	of a body surface, the method comprising:
6	applying a layer of photo-resist to a first side of a sheet;
7	exposing the layer of photo-resist through a mask pattern for
8	producing a plurality of blades;
9	etching exposed portions of the photo-resist and the sheet to produce
20	the plurality of blades and openings through the sheet;
21	punching the plurality of blades through the openings such that the
22	plurality of blades extend downward from the sheet; and
23	incorporating the device for piercing the stratum corneum into a
24	delivery device or sampling device.
25	
26	47. The method of Claim 46, wherein the photo-resist is a resist
27	selected from the group consisting of wet resist and dry resist.
28	

48. The method of Claim 46, wherein the etching step comprises

49. The method of Claim 46, wherein the punching step comprises:

placing the sheet on a die having a plurality of openings corresponding to the plurality of blades and openings of the sheet; and

bending the plurality of blades through the openings to be substantially perpendicular to the sheet with a punch having a plurality of protrusions corresponding to the plurality of openings in the die and the plurality of openings of the sheet.

- 50. A method of transdermally sampling an agent, comprising:
- a. placing a device on a body surface through which the agent is to be withdrawn, the device including a sheet having at least one opening therethrough and a plurality of blades extending downward therefrom whereby agent transmitting pathways are formed through the stratum corneum at the body surface, and a reservoir in agent-transmitting relation with the opening;
  - b. withdrawing the agent through the pathways and said opening; and
  - c. collecting the agent in the reservoir.

51. The method of Claim 50, wherein the sampled agent is selected from the group consisting of body analytes, electrolytes, blood gases, illicit drugs, licit drugs and glucose.

52. The method of Claim 50, further comprising:

connecting a sampling device to a side opposite of a side of the sheet having the blades extending downward therefrom, the sampling device being selected from the group consisting of a reverse electrotransport sampling device, a passive sampling device, an osmotic sampling device, and a negative pressure driven sampling device.

## **Abstract of the Disclosure**

A percutaneous agent delivery or sampling device comprising a sheet having a plurality of microblades for piercing and anchoring to the skin for increasing transdermal flux of an agent and for improving the attachment of the device to the skin.

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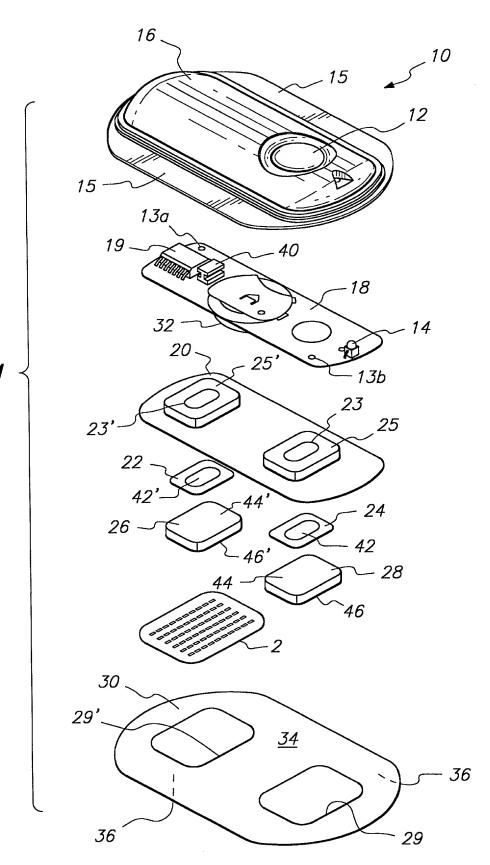
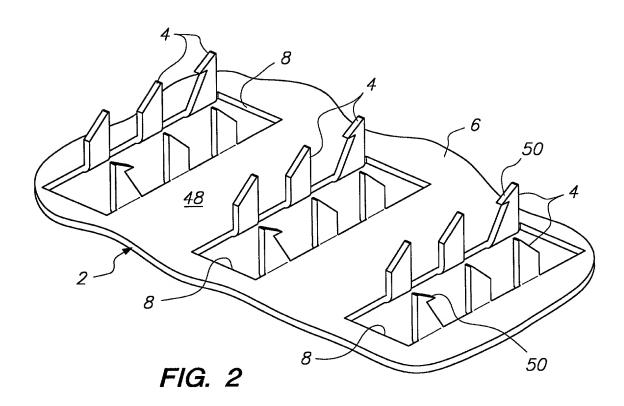
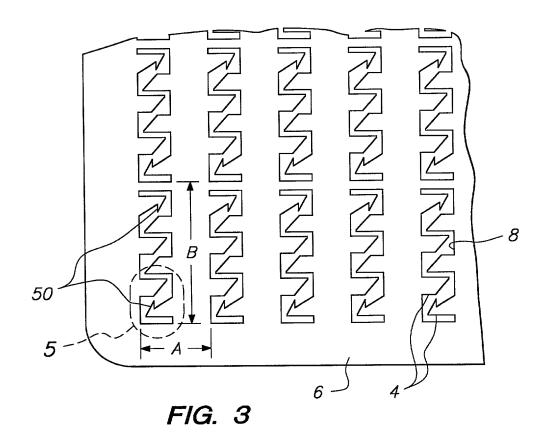


FIG. 1





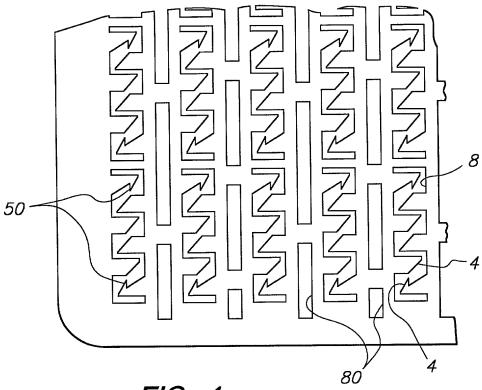


FIG. 4

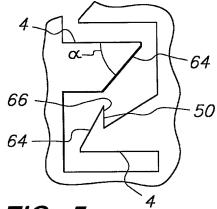
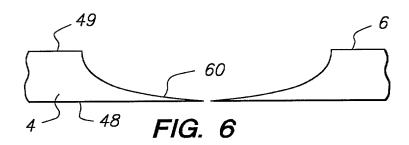
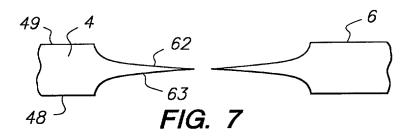
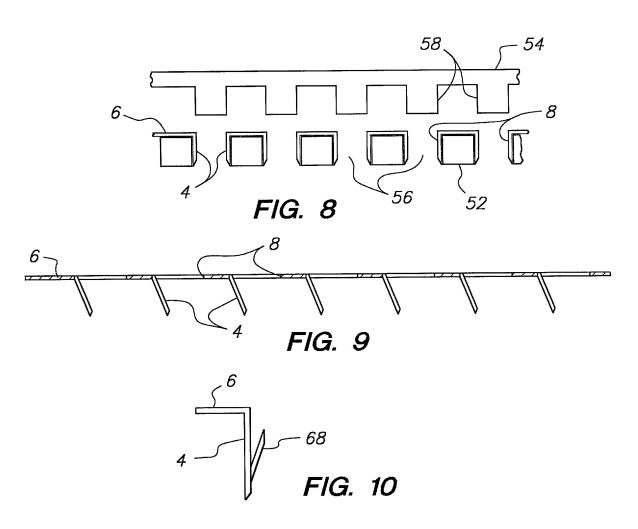
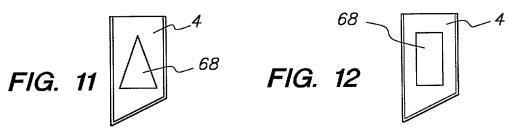


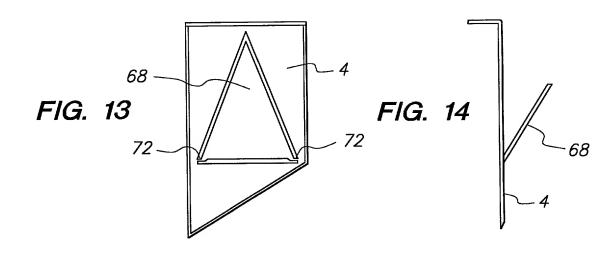
FIG. 5

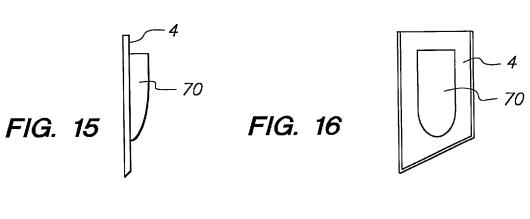












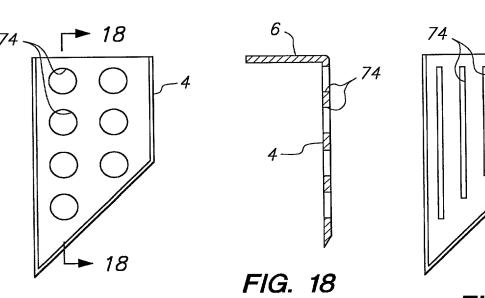
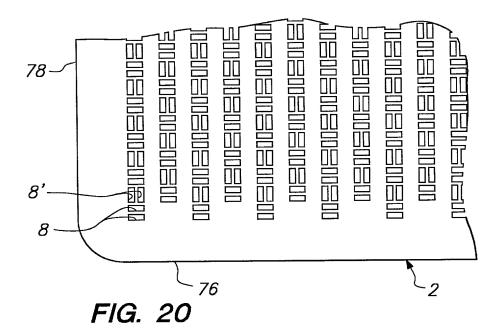
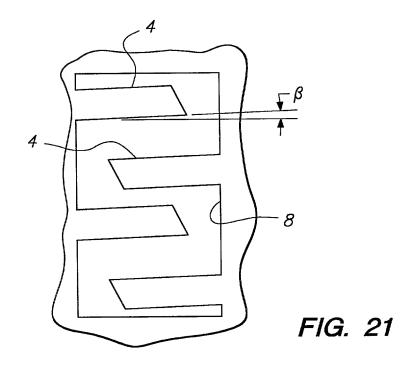


FIG. 17

FIG. 19





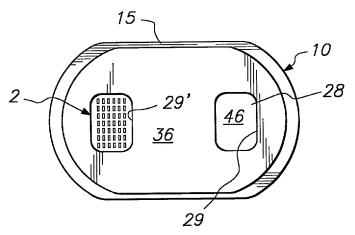
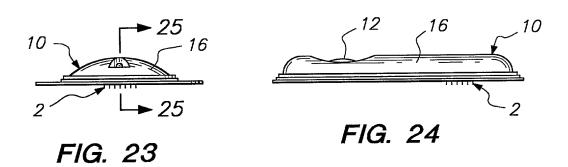


FIG. 22



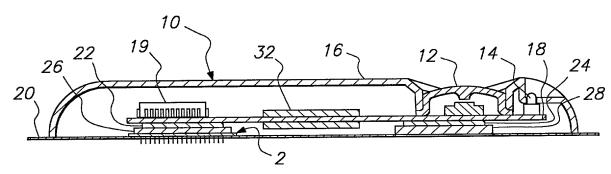
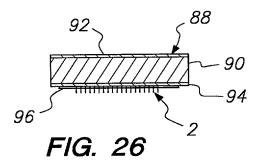
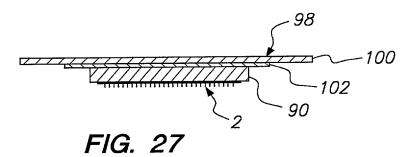


FIG. 25





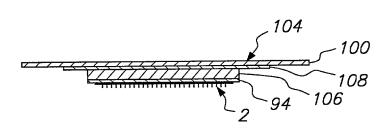
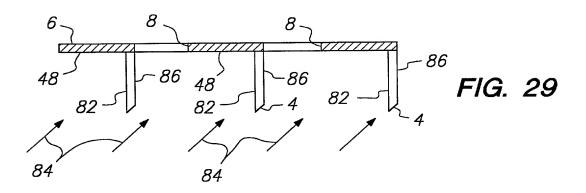


FIG. 28



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DECLARA	TION	Application Number							
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Declaration	Declaration	Group Art Unit							
K-3		Examiner Name							
Submitted with OR	Submitted after			·					
Initial Filing	Initial Filing								
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As a below named inventor, I hereby declare that:									
My residence, post office address, and citizenship are as stated below next to my name.									
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:									
DEVICE AND METHOD FOR E	ENHANCING TRANS	DERMAL FLUX OF AGENTS BEI	NG DELIVERED OR SAMPI	_ED					
		(Title of the Invention)		ļ					
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is attached hereto									
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I hereby state that I have review amendment specifically referred	ed and understand the I to above.	contents of the above identified sp	pecification, including the clai	ms, as amended by any					
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I hereby claim foreign priority be	enefits under Title 35, L	United States Code § 119 (a)-(d) or	r § 365(b) of any foreign appl	ication(s) for patent or					
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	a filing date before that	of the application on which priority	/ is claimed.	Copy Attached?					
Prior Foreign Application	0	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Yes No					
Number(s)	Country	(WIW/DD/TTTT)	Thomas Rot Glamica						
		on a supplemental priority sheet a							
I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.									
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priority sheet attached hereto.									

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DECLARATION	Faye 2
1 DECLARATION	
DECEMICATION	

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

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Steven F. Stone Paul L. Sabatine	22,53			John A. Dhue			2	6,265	
Michael J. Rafa	38,74			Christopher F			3	6,334	
Please direct all corresponder	Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.  Please direct all correspondence to:								
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D. Byron Miller  Address:  ALZA Corporation									
Address: 950 Page Mill Roa	d			T =		<u>.</u> .			
City:				State: CA		Zip:	94303-0802		
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I hereby declare that all statements believed to be true; and further that	made herein of	my own know	wledge ar	e true and that	all stateme	ents mac lee stater	ie on informa nente and th	ation and belief are	
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Name of Sole or First Inventor:		-							
Michel J.N. Cormier		A petition has	s been file	d for this unsig	ned inven	tor.			
Given Name: Michel		Middle Initial:	J.N.	Family Name: Co	rmier			Suffix:	
Inventor's									
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City: Mountain View	Country	U.S.A. Ciuzensiip.				•			
	70 Andohum Ave								
POST OFFICE ADDRESS 27	8 Andsbury Ave		Zip:	<u></u>	Country			Applicant	
Mountain View		CA	94	1043	L	U.S./	۹	Authority: U.S.	

Additional inventors are being named on supplemental sheet(s) attached hereto.

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DECLARATION						ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inve	ntor, if any:	A petiti	on has bee	en filed for this (	unsigned inventor.				
		Middle	Family			Suffix:			
Given Name: Armand		Initial: P. Name: Neukermans							
Inventor's Signature:				Date:		·			
RESIDENCE		04-4	Country		— т	Citizenship:			
City: Palo Alto		State: CA				U.S.			
POST OFFICE ADDRESS	3510 Arbut	us Avenue							
City:		State:	tate: Zip: Cou		Country:				
Palo Alto	nten if any	CA_	94	303	U.S.A	. A	uthority. U.S.		
Name of Additional Joint Inve Barry Block	entor, it any		ion has be	en filed for this	unsigned inventor.				
Given	-	Middle Initial:		Family Name: Blo	ock		Suffix:		
Name: Barry Inventor's		, manuar							
Signature:				Date:					
RESIDENCE	1	State:	Country	<i>r</i> :		Citizenship:			
City: Los Altos		CA	Country	U.S.A.			U.S		
POST OFFICE ADDRESS	30610 Pag	je Mill Road							
City:		State:	Zip:		Country: U.S.A		pplicant uthority: U.S.		
Los Altos	ontor if any	CA_	94	1022	11	A. Authority: 0.5.			
Name of Additional Joint Invention T. Theeuwes	entor, ii any		tion has be	en filed for this	unsigned inventor	•			
Given Name: Felix		1	Middle Family Initial: T. Name: Theeuwes				Suffix:		
Inventor's				Datas					
Signature:				Date:			·		
RESIDENCE City:		State:	Countr	y:		Citizenship			
Los Altos Hills	·!	CA		U.S.A.		Belgium			
POST OFFICE ADDRESS	27350 Alta	amont Road					Ameliaant		
City:		State: CA	Zip:	4023	Country:		Applicant Authority: U.S.		
Los Altos Hills Name of Additional Joint Inv	entor, if any	/:	<u> </u>		<u> </u>				
Alfred A. Amkraut					s unsigned inventor	•	Cuffive		
Given Name: Alfred			Middle Family Initial: A. Name: Amkraut		mkraut	· · · · · · · · · · · · · · · · · · ·	Suffix:		
Inventor's Signature:				Date:					
RESIDENCE						0.00			
City: Palo Alto	State: CA	Country: Citizenship: U.S.A. U.S.							
POST OFFICE ADDRESS	3358 Ken	neth Drive	T =====		Country		Applicant		
City: Palo Alto	State: CA	Zip:	4303	Country: U.S.		Authority: U.S.			
Additional inventors are to	eing named	on supplemental	sheet(s) at	tached hereto.			212		